



Protection of Confidential Information Questionnaire

As a professional service provider for United Bank, you may process, store or otherwise have access to United Bank confidential information. Please confirm the security of this information by completing the questionnaire below/

Name of Company	
Date	

Complete this section if Customer or Bank data is to be stored for any period of time by Company:

1. Does your company contract with an independent third party to conduct a vulnerability assessment and/or penetration test on at least an annual basis and are findings remediated timely?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
2. If "yes" to the question above, are vulnerabilities that are identified in the assessment remediated in a timely manner?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
3. Does your company routinely patch its computer equipment?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
4. Does your company have anti-virus software running on all servers and PCs?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
5. Does your company have a firewall(s) in place to restrict incoming and outgoing access to the Internet?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
6. Does your company employ an intrusion detection system or intrusion prevention system?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
7. Does your company utilize a solution to detect and respond to advanced persistent threats (APTs) and do you have corresponding monitoring and response procedures for detected APTs?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
8. Does your company use secure electronic data transmission methods (SFTP, FTPS, encrypted email, other?)	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
9. Does your company have a response program in place that specifies actions to be taken if there is unauthorized or inappropriate access to confidential information?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
10. Does your company employ user access controls to restrict your employees' access on a "need to know" basis and employ strong password/ID standards?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
11. Does your company run background checks or employ a screening process for new hires?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
12. Do employees of your company sign a confidentiality agreement?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
13. Does your company have controls in place for the proper disposal of confidential information?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
14. Does your company use laptops that contain confidential information?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
15. If yes to the question above, does your company employ encryption and password controls on your laptops?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
16. Does your company provide training to your employees regarding the safeguarding of confidential information?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
17. A. Is the bank's data on servers that are dedicated to the Bank or in a shared environment co-mingled with other institutions' data? B. If a shared environment, please describe security measures and controls that restrict access to each institution's data and that ensure the integrity and confidentiality of the Bank's data.	
18. If your company has a written Information Security Policy/Program, please provide a copy.	

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19.	If not covered in your Information Security Program (a copy of which is being provided), please describe below your company's physical security.
20.	<p>A. Please provide a copy of your company's business continuity/disaster recovery plan.</p> <p>B. Is it tested at least annually?</p> <p>C. Please note the Recovery Time Objective and Recovery Point Objective.</p>
21.	Please provide any other details of your company's computer and information security.

Completed by:		Date:	
Title:			